

PATENT  
450101-03301

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

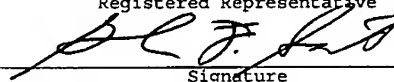
Applicants : Motoki KATO et al.  
Int'l Application : PCT/JP01/03412  
Int'l Filing Date : 20 April 2001  
For : ENCODING APPARATUS AND METHOD, RECORDING  
MEDIUM AND PROGRAM  
U.S. Serial No. : 10/049,553

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on May 15, 2002

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative



Signature

May 15, 2002

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of  
Missing Requirements" (form PCT/DO/EO/905) dated February 15,  
2002 on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge plus \$110.00 for an extension of time. By separate

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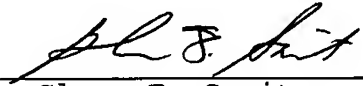
cover, we are filing the Assignment and the \$40.00 fee for recording same.

This response is being filed within the first month following the expiration of the term originally set therefor. This is a petition to request a one-month extension of time. A check covering the cost of the petition is enclosed. Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:   
Glenn F. Savit  
Reg. No. 37,437  
Tel. (212) 588-0800

Enclosure

06/06/2002 GFREY1 00000129 10049553

01 FC:154  
02 FC:115

130.00 OP  
110.00 OP



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,836	Motoki Kato	450101-03301

INTERNATIONAL APPLICATION NO.
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PCT/JP01/03412

IA. FILING DATE	PRIORITY DATE
04/20/2001	04/21/2000

William S Frommer  
Frommer Lawrence & Haug  
745 Fifth Avenue  
New York, NY 10151

CONFIRMATION NO. 2408

371 FORMALITIES LETTER



\*OC000000007475329\*

Date Mailed: 02/15/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

DOCKETED

FROMMER, LAWRENCE &amp; HAUG, LLP

FEB 25 10:10

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/018,836	PCT/JP01/03412	450101-03301

FORM PCT/DO/EO/905 (371 Formalities Notice)